

For non-clinical research organizations and institutes doing grant-funded and contract research, including survey and behavioral studies. Clinical trials use CWCO-SUP-CLINTRIAL. Complete with ACORD 125. Attach a description of services, a sample client contract or engagement letter, resumes or credentials of key professionals, and five years of loss runs.

1 APPLICANT / BUSINESS INFORMATION

LEGAL BUSINESS NAME	DBA	FEIN	YEARS IN BUSINESS
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
BUSINESS ADDRESS	CITY / STATE / ZIP	WEBSITE	
<input type="text"/>	<input type="text"/>	<input type="text"/>	

Entity type:
☐ Sole proprietor ☐ Partnership ☐ LLC ☐ Corporation ☐ Nonprofit

PRIMARY CONTACT / TITLE	PHONE	EMAIL
<input type="text"/>	<input type="text"/>	<input type="text"/>

LICENSES / CERTIFICATIONS HELD	PROFESSIONAL STAFF / TOTAL EMPLOYEES
<input type="text"/>	<input type="text"/>

2 OPERATIONS

Services provided (check all that apply):
☐ Social / policy research ☐ Behavioral studies ☐ Survey research ☐ Physical sciences ☐ Basic life sciences (non-clinical)
☐ Program evaluation ☐ Data science / modeling ☐ International fieldwork ☐ Contract research for industry

ANNUAL RESEARCH SPEND (\$)	FEDERAL / FOUNDATION / COMMERCIAL (%)	ACTIVE AWARDS	PARTICIPANTS PER YEAR
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

LARGEST CLIENT (% OF REVENUE)	WORK OUTSIDE YOUR HOME STATE (%)	WORK SUBCONTRACTED (%)
<input type="text"/>	<input type="text"/>	<input type="text"/>

2-1 Do you receive federal grants or contracts? ☐ Yes ☐ No
 IF YES, EXPLAIN

2-2 Is every engagement under a signed written contract or engagement letter? ☐ Yes ☐ No
 IF NO, EXPLAIN

2-3 Do contracts limit your liability (e.g., to fees paid)? ☐ Yes ☐ No
 IF NO, EXPLAIN

3 EXPOSURE & RISK QUESTIONS — GRANT COMPLIANCE & RESEARCH INTEGRITY

Scripps paid \$10M after grant-writing and teaching time was charged directly to NIH awards; a former employee's whistleblower suit started the case.

3-1 Do researchers certify effort reports each period? ☐ Yes ☐ No
 IF NO, EXPLAIN

3-2 Is proposal-writing time charged to indirect costs rather than active awards? ☐ Yes ☐ No
 IF NO, EXPLAIN

3-3 Is there a written research-misconduct policy meeting funder rules? ☐ Yes ☐ No
 IF NO, EXPLAIN

3-4 Are figures and data screened for duplication before publication? ☐ Yes ☐ No
 IF NO, EXPLAIN

3-5 Is there an anonymous whistleblower reporting channel? ☐ Yes ☐ No
 IF NO, EXPLAIN

3-6 Was a single audit completed for the latest fiscal year where required? ☐ Yes ☐ No
 IF NO, EXPLAIN

3-7 Have you received a qui tam complaint, OIG subpoena, or civil investigative demand? ☐ Yes ☐ No
IF YES, EXPLAIN

3-8 Have you returned grant funds after a funder review? ☐ Yes ☐ No
IF YES, EXPLAIN

4 EXPOSURE & RISK QUESTIONS — HUMAN PARTICIPANTS & DATA

Two panel companies allegedly billed \$10M for survey data from coached fake respondents, compromising the research built on it.

4-1 Do you conduct research with human participants? ☐ Yes ☐ No
IF YES, EXPLAIN

4-2 Is that research reviewed by an IRB? ☐ Yes ☐ No
IF NO, EXPLAIN

4-3 Do you collect identifiable personal data from participants? ☐ Yes ☐ No
IF YES, EXPLAIN

4-4 Is identifiable participant data encrypted at rest? ☐ Yes ☐ No
IF NO, EXPLAIN

4-5 Is data from third-party survey panels checked for fraud? ☐ Yes ☐ No
IF NO, EXPLAIN

4-6 Do panel vendor contracts include indemnity for fabricated responses? ☐ Yes ☐ No
IF NO, EXPLAIN

4-7 Is participant data destroyed on the schedule in the data-management plan? ☐ Yes ☐ No
IF NO, EXPLAIN

4-8 Do participants perform physical tasks in your studies? ☐ Yes ☐ No
IF YES, EXPLAIN

5 PAYROLL, SALES & REVENUE

ANNUAL REVENUE (\$)	LARGEST SINGLE CONTRACT (\$)	SUBCONTRACTOR COSTS (\$)	TOTAL ANNUAL PAYROLL (\$)

6 PRIOR INSURANCE & LOSS HISTORY

CURRENT E&O / D&O CARRIER / LIMITS	DEDUCTIBLE / RETROACTIVE DATE	EXPIRATION DATE

DATE OF CLAIM	DESCRIPTION	TOTAL INCURRED (\$)	OPEN / CLOSED

6-1 Has a sponsor or client claimed a loss from flawed research? ☐ Yes ☐ No
IF YES, EXPLAIN

6-2 Has a client refused to pay or sued over fees in the past 5 years? ☐ Yes ☐ No
IF YES, EXPLAIN

6-3 Has any license, certification, or registration been suspended, disciplined, or investigated? ☐ Yes ☐ No
IF YES, EXPLAIN

6-4 Has any coverage been declined, cancelled, or non-renewed? ☐ Yes ☐ No
IF YES, EXPLAIN

6-5 Is the applicant aware of any incident or circumstance that could give rise to a claim?

☐ Yes ☐ No

IF YES, EXPLAIN

7RISK MANAGEMENT & SAFETY

7-1 Are client files and work papers retained for a set period under a written policy?

☐ Yes ☐ No

IF NO, EXPLAIN

7-2 Is multifactor authentication required for email and client data?

☐ Yes ☐ No

IF NO, EXPLAIN

7-3 Is there whistleblower anti-retaliation training for supervisors?

☐ Yes ☐ No

IF NO, EXPLAIN

7-4 Are grant expenditures reviewed monthly against budgets?

☐ Yes ☐ No

IF NO, EXPLAIN

8SIGNATURE & FRAUD WARNING

The undersigned declares that the statements and answers given in this supplemental are true, complete and correct to the best of their knowledge, and that no material fact has been withheld or misstated. The applicant agrees that this supplemental, together with all ACORD applications and attachments, forms the basis of any submission to insurers and may be relied upon by them. Signing this supplemental does not bind coverage. This supplemental is an intake document prepared by Cory Washington & Co. LLC to support carrier submission; it does not itself provide coverage.

FRAUD NOTICE: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, may be committing a fraudulent insurance act, which is a crime and may subject such person to criminal and civil penalties. State-specific fraud warnings appear on the insurer's application.

APPLICANT / AUTHORIZED SIGNATURE (TYPE FULL NAME)	TITLE	DATE
<div></div>	<div></div>	<div></div>
PRODUCER — CORY WASHINGTON & CO. LLC	PRODUCER SIGNATURE (TYPE FULL NAME)	DATE
<div></div>	<div></div>	<div></div>