

Must be completed by a principal, partner or director who has made the necessary enquiries of fellow partners, directors and employees. Coverage extends to subsidiaries only if their data is included in every a

INSURED COMPANY		DATE COMPANY ESTABLISHED	FINANCIAL YEAR END
ADDRESS	CITY	STATE / ZIP	WEBSITE
CONTACT NAME	EMAIL	REPORTING CURRENCY	

A COMPANY & REVENUE

DESCRIBE THE NATURE OF THE APPLICANT'S BUSINESS ACTIVITIES. ATTACH A BROCHURE OR COMPANY LITERATURE IF AVAILABLE.

REVENUE GENERATED FROM	LAST COMPLETE FINANCIAL YEAR (\$)	ESTIMATE FOR CURRENT FINANCIAL YEAR (\$)

Complete rows for: domestic, Canada, the UK, Germany, other European countries, Asia, Africa and South America, Australia and New Zealand, and total.

% OF TOTAL REVENUE FROM SALE OF PRODUCTS	ROYALTY RATE (UNIT PRICE OR % OF SALES)	NUMBER OF EMPLOYEES

WHO OWNS THE COMPANY TO BE INSURED?

A1	Has there been, or is it anticipated there will be, any merger, acquisition or joint venture?	☐ Yes ☐ No
	IF YES, EXPLAIN	
A2	Do employment and consultancy contracts contain a confidentiality clause?	☐ Yes ☐ No
	IF YES, EXPLAIN	
A3	Do employment or consultancy contracts state that the applicant retains absolute ownership of all intellectual property created on its behalf?	☐ Yes ☐ No
	IF YES, EXPLAIN	
A4	Does the applicant obtain written confirmation from prospective employees that they will not be in breach of any contractual terms relating to previous employment?	☐ Yes ☐ No
	IF YES, EXPLAIN	

B PRODUCTS & MARKET

PRODUCT / PRODUCT LINE	DESCRIPTION	REVENUE FOR THIS PRODUCT LINE (\$)

List the top five income-generating products.

WHY ARE THE APPLICANT'S PRODUCTS AND SERVICES UNIQUE?

TOP COMPETITOR NAME	COUNTRY OF DOMICILE	APPROXIMATE REVENUE (\$)

B1 Does the applicant intend to introduce any new product, or market an existing product in a new business sector or territory, during the next 12 months? ☐ Yes ☐ No

IF YES, EXPLAIN

If yes to B1, provide details including the income anticipated.

B2 Are any products sold under a third party's name or brand name? ☐ Yes ☐ No

IF YES, EXPLAIN

Who are the applicant's customers?

☐ Processors / manufacturers

☐ Distributors / wholesalers

☐ Retailers

☐ Consumers

C INTELLECTUAL PROPERTY SCHEDULE

List all intellectual property to be covered, or attach documentation containing the same information. Provide a copy of the application document for any unpublished application.

TYPE	IDENTIFYING TITLE / MARK / BRAND NAME	TERRITORY	APPLICATION OR GRANT NO.	APPLICATION OR GRANT DATE	CLASS(ES)	STATUS

Types: patent; registered design; registered trade or service mark; unregistered trade or service mark; copyright including unregistered design rights; domain name.

C1 Does the applicant own, or is it the exclusive licensee of, all intellectual property listed above? ☐ Yes ☐ No

IF YES, EXPLAIN

C2 Are any external research organizations or joint ventures used for product development? ☐ Yes ☐ No

IF YES, EXPLAIN

If yes to C2, supply ownership details and copies of all contractual agreements.

D CONTRACTS GOVERNING INTELLECTUAL PROPERTY

Complete this section if the applicant has any contracts that govern intellectual property.

D1

Do any contracts impose an obligation on the applicant to enforce any intellectual property rights?

☐ Yes ☐ No

IF YES, EXPLAIN

D2

Do any contracts impose an obligation on the applicant to indemnify or hold harmless a third party for costs or damages in defending infringement proceedings?

☐ Yes ☐ No

IF YES, EXPLAIN

D3

Do any contracts impose an obligation upon a third party to indemnify or hold harmless the applicant for costs or damages in defending infringement proceedings?

☐ Yes ☐ No

IF YES, EXPLAIN

D4

Does the applicant retain the right to control any claim where it has an obligation to indemnify or hold harmless a third party?

☐ Yes ☐ No

IF YES, EXPLAIN

E

RISK MANAGEMENT

SUMMARIZE CURRENT RISK MANAGEMENT PROCEDURES FOR INTELLECTUAL PROPERTY, INCLUDING FREEDOM-TO-OPERATE SEARCH PRACTICES, COMPETITOR MONITORING AND A TH

SUMMARIZE THE PROCEDURES IN PLACE IF THE APPLICANT DISCOVERS A THIRD PARTY MAY HAVE INFRINGED ITS INTELLECTUAL PROPERTY, OR THAT IT MAY HAVE INFRINGED A TH

F

PURSUIT, LOSS OF RIGHTS & LOSS OF PROFIT

Complete only the blocks matching the coverage requested.

F1

Has any intellectual property been the subject of opposition or invalidity proceedings, or ex-parte re-examination?

☐ Yes ☐ No

IF YES, EXPLAIN

F2

Has any intellectual property been used as collateral, or been subject to liens against loans or otherwise?

☐ Yes ☐ No

IF YES, EXPLAIN

F3

Has any intellectual property been acquired from a third party?

☐ Yes ☐ No

IF YES, EXPLAIN

IP ASSET WITH HIGHEST APPLICATION AND MAINTENANCE COSTS

ACTUAL COSTS AND FEES INCURRED FOR THAT ASSET (\$)

TOTAL PORTFOLIO COSTS — LAST COMPLETE FY (\$)

TOTAL PORTFOLIO COSTS — ESTIMATE CURRENT FY (\$)

TOTAL NUMBER OF IP ASSETS IN PORTFOLIO

TOP 3 PRODUCTS — LOSS OF PROFIT	ACTUAL INCOME PAST FY (US / NON-US)	PROJECTED INCOME PRESENT FY (US / NON-US)	PROJECTED INCOME NEXT FY (US / NON-US)

G

LEGAL ACTIONS & LOSS HISTORY

Answer for all intellectual property, at any time.

G1

Has the applicant commenced proceedings against, or issued a warning letter to, a third party regarding their actual or alleged infringement of the applicant's intellectual property?

☐ Yes ☐ No

IF YES, EXPLAIN

G2	Has the applicant commenced proceedings against, or issued a warning letter to, a third party regarding an actual or alleged breach of a confidentiality undertaking or licence agreement?	☐ Yes ☐ No
IF YES, EXPLAIN		
G3	Has the applicant defended an action brought by, or received a warning letter from, a third party regarding the applicant's actual or alleged infringement of their intellectual property?	☐ Yes ☐ No
IF YES, EXPLAIN		
G4	Has the applicant defended an action brought by, or received a warning letter from, a third party regarding an actual or alleged breach of a licence agreement?	☐ Yes ☐ No
IF YES, EXPLAIN		
G5	Has the applicant defended an action threatening its ownership rights in, or the validity of, any of its intellectual property?	☐ Yes ☐ No
IF YES, EXPLAIN		
G6	Has the applicant defended an application for a declaration of non-infringement of its intellectual property?	☐ Yes ☐ No
IF YES, EXPLAIN		
G7	Has the applicant amended any product or intellectual property right to avoid infringing a third party's intellectual property?	☐ Yes ☐ No
IF YES, EXPLAIN		
G8	Has any application or renewal for intellectual property infringement insurance been declined, or been subject to special terms or conditions?	☐ Yes ☐ No
IF YES, EXPLAIN		
G9	Has any insurance been cancelled or voided by an insurer?	☐ Yes ☐ No
IF YES, EXPLAIN		
G10	After enquiry, is the applicant aware of any cause, event or circumstance — including the existence of any prior art — that may give rise to a claim against the applicant by a third party, or against a third party by the applicant?	☐ Yes ☐ No
IF YES, EXPLAIN		

FOR ANY 'YES' ABOVE, PROVIDE FULL DETAILS OF THE CAUSE, EVENT OR CIRCUMSTANCE. FOR ANY DEFENSE CLAIM, STATE THE MAXIMUM AMOUNT LIKELY TO BE CLAIMED FROM THE APPLICANT.

H COVERAGE REQUESTED

Required coverage:

- | | | |
|---|---|---|
| ☐ Patent defense | ☐ All other IP defense | ☐ Patent pursuit |
| ☐ All other IP pursuit | ☐ Loss of intellectual property rights | ☐ Loss of future profits |

Required limit of liability:

- | | | |
|--------------------------------------|---------------------------------------|--------------------------------------|
| ☐ \$1,000,000 | ☐ \$2,000,000 | ☐ \$3,000,000 |
| ☐ \$5,000,000 | ☐ \$10,000,000 | ☐ Other |

Required deductible:

- | | | | |
|-----------------------------------|------------------------------------|-----------------------------------|-----------------------------------|
| ☐ \$2,500 | ☐ \$5,000 | ☐ \$10,000 | ☐ \$20,000 |
| ☐ \$50,000 | ☐ \$100,000 | ☐ Other | ☐ |

Territorial limits where cover is to be provided:

- | | | |
|--|---|------------------------------------|
| ☐ Country of domicile | ☐ European Patent Convention member states | ☐ Worldwide |
|--|---|------------------------------------|

I ADDITIONAL INFORMATION

ANYTHING ELSE AN UNDERWRITER SHOULD KNOW

JDECLARATIONS & SIGNATURE

The undersigned declares that the statements and answers given in this supplemental are true, complete and correct to the best of their knowledge, and that no material fact has been withheld or misstated. The applicant agrees that this supplemental, together with all ACORD applications and attachments, forms the basis of any submission to insurers and may be relied upon by them. Signing this supplemental does not bind coverage. This form must be signed by a principal, partner or director who declares that after proper enquiry the statements given are true and that no material fact has been misstated or suppressed.

FRAUD NOTICE: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties. (State-specific fraud warnings apply; see state amendatory notice.)

APPLICANT / AUTHORIZED SIGNATURE (TYPE FULL NAME)	TITLE	DATE
PRODUCER — CORY WASHINGTON & CO. LLC	PRODUCER SIGNATURE (TYPE FULL NAME)	DATE